

Descartes, Locke, and Hume on people and animals

Descartes on the difference between people and animals

[The following is a selection from the end of Part V of the *Discourse on Method* by René Descartes (1596-1650). Descartes has been describing the content of another book, *The World*, which he had decided a few years earlier not to publish. It offered hypothetical explanations of a variety of natural phenomena, from planetary motion to human physiology. He begins by speaking of his account of neurophysiology, for which he had a sort of hydraulic model in which a fluid that he called “animal spirits” played a central role. Descartes was a “dualist” who recognized two sorts of substance, the material substance he speaks about initially and a “thinking substance” that he turns to later.]

I had explained all these matters in some detail in the Treatise which I formerly intended to publish. And afterwards I had shown there, what must be the fabric of the nerves and muscles of the human body in order that the animal spirits therein contained should have the power to move the members, just as the heads of animals, a little while after decapitation, are still observed to move and bite the earth, notwithstanding that they are no longer animate; what changes are necessary in the brain to cause wakefulness, sleep and dreams; how light, sounds, smells, tastes, heat and all other qualities pertaining to external objects are able to imprint on it various ideas by the intervention of the senses; how hunger, thirst and other internal affections can also convey their impressions upon it; what should be regarded as the ‘common sense’ by which these ideas are received, and what is meant by the memory which retains them, by the fancy which can change them in diverse ways and out of them constitute new ideas, and which, by the same means, distributing the animal spirits through the muscles, can cause the members of such a body to move in as many diverse ways, and in a manner as suitable to the objects which present themselves to its senses and to its internal passions, as can happen in our own case apart from the direction of our free will. And this will not seem strange to those, who, knowing how many different *automata* or moving machines can be made by the industry of man, without employing in so doing more than a very few parts in comparison with the great multitude of bones, muscles, nerves, arteries, veins, or other parts that are found in the body of each animal. From this aspect the body is regarded as a machine which, having been made by the hands of God, is incomparably better arranged, and possesses in itself movements which are much more admirable, than any of those which can

be invented by man. Here I specially stopped to show that if there had been such machines, possessing the organs and outward form of a monkey or some other animal without reason, we should not have had any means of ascertaining that they were not of the same nature as those animals. On the other hand, if there were machines which bore a resemblance to our body and imitated our actions as far as it was morally possible to do so, we should always have two very certain tests by which to recognise that, for all that, they were not real men. The first is, that they could never use speech or other signs as we do when placing our thoughts on record for the benefit of others. For we can easily understand a machine’s being constituted so that it can utter words, and even emit some responses to action on it of a corporeal kind, which brings about a change in its organs; for instance, if it is touched in a particular part it may ask what we wish to say to it; if in another part it may exclaim that it is being hurt, and so on. But it never happens that it arranges its speech in various ways, in order to reply appropriately to everything that may be said in its presence, as even the lowest type of man can do. And the second difference is, that although machines can perform certain things as well as or perhaps better than any of us can do, they infallibly fall short in others, by the which means we may discover that they did not act from knowledge, but only from the disposition of their organs. For while reason is a universal instrument which call serve for all contingencies, these organs have need of some special adaptation for every particular action. From this it follows that it is morally impossible that there should be sufficient diversity in any machine to allow it to act in all the events of life in the same way as our reason causes us to act.

By these two methods we may also recognise the difference that exists between men and brutes. For it is a very remarkable fact that there are none so depraved and stupid, without even excepting idiots, that they cannot arrange different words together, forming of them a statement by which they make known their thoughts; while, on the other hand, there is no other animal, however perfect and fortunately circumstanced it may be, which can do the same. It is not the want of organs that brings this to pass, for it is evident that magpies and parrots are able to utter words just like ourselves, and yet they cannot speak as we do, that is, so as to give evidence that they think of what they say. On the other hand, men who, being born deaf and dumb, are in the same degree, or even more than the brutes, destitute of the organs which serve the others for talking, are in the habit of themselves inventing certain signs by which they make themselves understood by those who, being usually in their company, have leisure to learn their

language. And this does not merely show that the brutes have less reason than men, but that they have none at all, since it is clear that very little is required in order to be able to talk. And when we notice the inequality that exists between animals of the same species, as well as between men, and observe that some are more capable of receiving instruction than others, it is not credible that a monkey or a parrot, selected as the most perfect of its species, should not in these matters equal the stupidest child to be found, or at least a child whose mind is clouded, unless in the case of the brute the soul were of an entirely different nature from ours. And we ought not to confound speech with natural movements which betray passions and may be imitated by machines as well as be manifested by animals; nor must we think, as did some of the ancients, that brutes talk, although we do not understand their language. For if this were true, since they have many organs which are allied to our own, they could communicate their thoughts to us just as easily as to those of their own race. It is also a very remarkable fact that although there are many animals which exhibit more dexterity than we do in some of their actions, we at the same time observe that they do not manifest any dexterity at all in many others. Hence the fact that they do better than we do, does not prove that they are endowed with mind, for in this case they would have more reason than any of us, and would surpass us in all other things. It rather shows that they have no reason at all, and that it is nature which acts in them according to the disposition of their organs, just as a clock, which is only composed of wheels and weights is able to tell the hours and measure the time more correctly than we can do with all our wisdom.

I had described after this the rational soul and shown that it could not be in any way derived from the power of matter, like the other things of which I had spoken, but that it must be expressly created. I showed, too, that it is not sufficient that it should be lodged in the human body like a pilot in his ship, unless perhaps for the moving of its members, but that it is necessary that it should also be joined and united more closely to the body in order to have sensations and appetites similar to our own, and thus to form a true man. In conclusion, I have here enlarged a little on the subject of the soul, because it is one of the greatest importance. For next to the error of those who deny God, which I think I have already sufficiently refuted, there is none which is more effectual in leading feeble spirits from the straight path of virtue, than to imagine that the soul of the brute is of the same nature as our own, and that in consequence, after this life we have nothing to fear or to hope for, any more than the flies and ants. As a matter

of fact, when one comes to know how greatly they differ, we understand much better the reasons which go to prove that our soul is in its nature entirely independent of body, and in consequence that it is not liable to die with it. And then, inasmuch as we observe no other causes capable of destroying it, we are naturally inclined to judge that it is immortal.

From: Elizabeth Haldane and G. R. T. Ross (tr.), *The Philosophical Works of Descartes*, vol. 1 (Cambridge University Press, 1911), pp. 115-118.

Locke on identity in humans and animals

[The following selection is taken from a discussion of “identity and diversity” in *An Essay Concerning Human Understanding* by John Locke (1632-1704). Although Locke is willing to accept the idea of a thinking substance, he argues that this is not what constitutes someone’s identity.]

6. *Identity of man.* This also shows wherein the identity of the same *man* consists; viz. in nothing but a participation of the same continued life, by constantly fleeting particles of matter, in succession vitally united to the same organized body. He that shall place the *identity* of man in anything else but, like that of other animals, in one fitly organized body, taken in any one instant and from thence continued under one organization of life in several successively fleeting particles of matter, united to it, will find it hard to make an *embryo*, one of years, mad, and sober, the same man, by any supposition, that will not make it possible for *Seth, Ismael, Socrates, Pilate, St. Austin, and Caesar Borgia*, to be the same man. For if the *identity* of soul alone makes the same man, and there be nothing in the nature of matter why the same individual spirit may not be united to different bodies, it will be possible that those men, living in distant ages, and of different tempers, may have been the same man: which way of speaking must be, from a very strange use of the word *man*, applied to an *idea* out of which body and shape are excluded. And that way of speaking would agree yet worse with the notions of those philosophers who allow of transmigration and are of opinion that the souls of men may, for their miscarriages, be detrued into the bodies of beasts, as fit habitations, with organs suited to the satisfaction of their brutal inclinations. But yet I think, nobody, could he be sure that the soul of *Heliogabalus* were in one of his hogs, would yet say that hog were a *man* or *Heliogabalus*.

7. *Identity suited to the idea.* It is not therefore unity of substance that comprehends all sorts of *identity* or will determine it in every case; but to conceive and judge of it aright, we must consider what *idea* the word it is applied to stands for: it being one thing to be the

same *substance*, another the same *man*, and a third the same *person*, if *person*, *man*, and *substance* are three names standing for three different *ideas*; for such as is the *idea* belonging to that name, such must be the *identity*; which, if it had been a little more carefully attended to, would possibly have prevented a great deal of that confusion which often occurs about this matter, with no small seeming difficulties, especially concerning *personal identity*, which therefore we shall in the next place a little consider.

8. *Same man*. An animal is a living organized body; and consequently the same animal, as we have observed, is the same continued life communicated to different particles of matter as they happen successively to be united to that organized living body. And whatever is talked of other definitions, ingenious observation puts it past doubt that the *idea* in our minds of which the sound *man* in our mouths is the sign, is nothing else but of an animal of such a certain form: since I think I may be confident that whoever should see a creature of his own shape or make, though it had no more reason all its life than a *cat* or a *parrot*, would call him still a *man*; or whoever should hear a *cat* or a *parrot* discourse, reason, and philosophize, would call or think it nothing but a *cat* or a *parrot* and say the one was a dull irrational *man*, and the other a very intelligent rational *parrot*. A relation we have in an author of great note, is sufficient to countenance the supposition of a rational *parrot*....

[Locke goes on to quote an account of a parrot apparently engaging in intelligent conversation and notes that the person reporting this seems to believe it but nevertheless describes the conversationalist as a parrot rather than as a person. He concludes the section as follows.]

... I presume it is not the *idea* of a thinking or rational being alone that makes the *idea* of a *man* in most people's sense, but of a body, so and so shaped, joined to it; and if that be the *idea* of a *man*, the same successive body not shifted all at once must, as well as the same immaterial spirit, go to the making of the same *man*.

9. *Personal identity*. This being premised, to find wherein *personal identity* consists, we must consider what *person* stands for; which, I think, is a thinking intelligent being, that has reason and reflection and can consider itself as itself, the same thinking thing in different times and places; which it does only by that consciousness which is inseparable from thinking and, as it seems to me, essential to it: it being impossible for any one to perceive without perceiving that he does perceive. When we see, hear, smell, taste, feel, meditate, or will anything, we know that we do so. Thus it is always as to our present sensations

and perceptions, and by this every one is to himself that which he calls *self*: it not being considered in this case whether the same *self* be continued in the same or divers substances. For since consciousness always accompanies thinking, and it is that that makes every one to be what he calls *self*, and thereby distinguishes himself from all other thinking things: in this alone consists *personal identity*, i.e. the sameness of a rational being. And as far as this consciousness can be extended backwards to any past action or thought, so far reaches the identity of that *person*: it is the same *self* now it was then, and it is by the same *self* with this present one that now reflects on it, that that action was done.

From: John Locke, *An Essay Concerning Human Understanding*, book 2, ch. 27, §§6-9.

Hume on reason in people and animals

[The following selection is the final section of book 1, part 3, of *A Treatise of Human Nature* by David Hume (1711-1776). In it, Hume is less concerned to argue for the similarity of people and animals than to cite that claim to support a view of cause-and-effect reasoning that he has been presenting. According to that view, we are led to expect a given cause (e.g., one billiard ball striking another) to produce a certain effect (the second ball moving off in a particular direction) as a result of a habit or custom of thinking produced by witnessing similar sequences of events in the past.]

Sect. XVI. *Of the reason of animals*

Next to the ridicule of denying an evident truth, is that of taking much pains to defend it; and no truth appears to me more evident, than that beasts are endow'd with thought and reason as well as men. The arguments are in this case so obvious, that they never escape the most stupid and ignorant.

We are conscious, that we ourselves, in adapting means to ends, are guided by reason and design, and that 'tis not ignorantly nor casually we perform those actions, which tend to self-preservation, to the obtaining pleasure, and avoiding pain. When therefore we see other creatures, in millions of instances, perform like actions, and direct them to the ends, all our principles of reason and probability carry us with an invincible force to believe the existence of a like cause. 'Tis needless in my opinion to illustrate this argument by the enumeration of particulars. The smallest attention will supply us with more than are requisite. The resemblance betwixt the actions of animals and those of men is so entire in this respect, that the very first action of the first animal

we shall please to pitch on, will afford us an incontestable argument for the present doctrine.

This doctrine is as useful as it is obvious, and furnishes us with a kind of touchstone, by which we may try every system in this species of philosophy. 'Tis from the resemblance of the external actions of animals to those we ourselves perform, that we judge their internal likewise to resemble ours; and the same principle of reasoning, carry'd one step farther, will make us conclude that since our internal actions resemble each other, the causes, from which they are deriv'd, must also be resembling. When any hypothesis, therefore, is advanc'd to explain a mental operation, which is common to men and beasts, we must apply the same hypothesis to both; and as every true hypothesis will abide this trial, so I may venture to affirm, that no false one will ever be able to endure it. The common defect of those systems, which philosophers have employ'd to account for the actions of the mind, is, that they suppose such a subtilty and refinement of thought, as not only exceeds the capacity of mere animals, but even of children and the common people in our own species; who are notwithstanding susceptible of the same emotions and affections as persons of the most accomplish'd genius and understanding. Such a subtilty is a dear proof of the falshood, as the contrary simplicity of the truth, of any system.

Let us therefore put our present system concerning the nature of the understanding to this decisive trial, and see whether it will equally account for the reasonings of beasts as for these of the human species.

Here we must make a distinction betwixt those actions of animals, which are of a vulgar nature, and seem to be on a level with their common capacities, and those more extraordinary instances of sagacity, which they sometimes discover for their own preservation, and the propagation of their species. A dog, that avoids fire and precipices, that shuns strangers, and caresses his master, affords us an instance of the first kind. A bird, that chooses with such care and nicety the place and materials of her nest, and sits upon her eggs for a due time, and in suitable season, with all the precaution that a chymist is capable of in the most delicate projection, furnishes us with a lively instance of the second.

As to the former actions, I assert they proceed from a reasoning, that is not in itself different, nor founded on different principles, from that which appears in human nature. 'Tis necessary in the first place, that there be some impression immediately present to their memory or senses, in order to be the foundation of their judgment. From the tone of voice the dog infers his masters anger, and foresees his own punish-

ment. From a certain sensation affecting his smell, he judges his game not to be far distant from him.

Secondly, The inference he draws from the present impression is built on experience, and on his observation of the conjunction of objects in past instances. As you vary this experience, he varies his reasoning. Make a beating follow upon one sign or motion for some time, and afterwards upon another; and he will successively draw different conclusions, according to his most recent experience.

Now let any philosopher make a trial, and endeavour to explain that act of the mind, which we call *belief*, and give an account of the principles, from which it is deriv'd, independent of the influence of custom on the imagination, and let his hypothesis be equally applicable to beasts as to the human species; and after he has done this, I promise to embrace his opinion. But at the same time I demand as an equitable condition, that if my system be the only one, which can answer to all these terms, it may be receiv'd as entirely satisfactory and convincing. And that 'tis the only one, is evident almost without any reasoning. Beasts certainly never perceive any real connexion among objects. 'Tis therefore by experience they infer one from another. They can never by any arguments form a general conclusion, that those objects, of which they have had no experience, resemble those of which they have. 'Tis therefore by means of custom alone, that experience operates upon them. All this was sufficiently evident with respect to man. But with respect to beasts there cannot be the least suspicion of mistake; which must be own'd to be a strong confirmation, or rather an invincible proof of my system.

Nothing shews more the force of habit in reconciling us to any phaenomenon, than this, that men are not astonish'd at the operations of their own reason, at the same time, that they admire the *instinct* of animals, and find a difficulty in explaining it, merely because it cannot be reduc'd to the very same principles. To consider the matter aright, reason is nothing but a wonderful and unintelligible instinct in our souls, which carries us along a certain train of ideas, and endows them with particular qualities, according to their particular situations and relations. This instinct, 'tis true, arises from past observation and experience; but can any one give the ultimate reason, why past experience and observation produces such an effect, any more than why nature alone shou'd produce it? Nature may certainly produce whatever can arise from habit: Nay, habit is nothing but one of the principles of nature, and derives all its force from that origin.

From: David Hume, *A Treatise of Human Nature*. L. A. Selby-Bigge (ed.) (Oxford University Press, 1896), pp. 115-118.