REGULARITY OF THE DISTANCE FUNCTION

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ABSTRACT. A coordinate-free proof is given of the fact that the distance function δ for a C^k submanifold M of \mathbf{R}^n is C^k near M when $k \geq 2$. The result holds also when k=1 if M has a neighborhood with the unique nearest point property. The differentiability of δ in the C^1 case is seen to follow directly from geometric considerations.

In the study of analysis and geometry, the function that measures the distance to a submanifold plays an important role. Let M be a submanifold of \mathbb{R}^n , and let $\delta \colon \mathbb{R}^n \to \mathbb{R}$ be the distance function for M, $\delta(x) = \operatorname{dist}(x, M)$. If M is C^k , then δ is easily seen to be C^{k-1} near M, since δ is always continuous and can be written in terms of the directions normal to M. It is the case, however, that δ is actually C^k near M when $k \geq 2$, and even when k = 1 under certain circumstances. As Krantz and Parks [4] point out, this fact deserves to be better known that it is.

The regularity of δ was first considered in [1], and the proof for the case $k \geq 2$ is found in [2]. The combined results (including the case k = 1) are given in [4] in a proof based on the work in [1].

The purpose of this note is to present a simple, coordinate-free proof of the following theorem and its C^1 analog.

THEOREM 1. Let $M \subset \mathbf{R}^n$ be a compact, C^k submanifold with $k \geq 2$. Then M has a neighborhood U so that δ is C^k on U - M.

In the C^1 case, the additional hypothesis is needed that some neighborhood of M have the *unique nearest point* property. (See [1, 4].) A neighborhood U of M has this property if for every $x \in U$ there is a unique point $P(x) \in M$ so that $\delta(x) = \operatorname{dist}(x, P(x))$. The map $P: U \to M$ is called the *projection onto* M.

LEMMA. Let M satisfy the hypothesis of Theorem 1. Then M has a neighborhood U with the unique nearest point property, and the projection map $P: U \to M$ is C^{k-1} .

PROOF. This is just the *tubular neighborhood theorem* with the added observation that the projection P factors through the map that creates the neighborhood. (See [3].)

Let

$$\nu(M) = \{(p, v) \in \mathbf{R}^n \times \mathbf{R}^n \mid p \in M \text{ and } v \perp T_p M\}$$

be the normal bundle for M; it is a C^{k-1} manifold of dimension n. Define the C^{k-1} map $F \colon \nu(M) \to \mathbf{R}^n$ by F(p,v) = p+v. The Jacobian F_* is easily seen to be nonsingular along the zero section $\{(p,0) \in \nu(M)\}$. By the inverse function theorem and the compactness of M, there is an $\varepsilon > 0$ such that F restricted to

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 $\nu_{\varepsilon}(M) = \{(p,v) \in \nu(M): |v| < \varepsilon\}$ is a C^{k-1} diffeomorphism onto a neighborhood U of M. On U the map P is the composition

$$U\stackrel{F^{-1}}{
ightarrow}
u_{arepsilon}(M)
ightarrow M,$$

where the last map is projection onto the first factor. Q.E.D.

In [4] M is said to have *positive reach* of at least ε . The largest possible neighborhood U on which P is defined is determined in part by the local extrinsic geometry of M inside \mathbb{R}^n : the extrinsic curvature of M governs the location of the singularities of the map $F: \nu(M) \to \mathbb{R}^n$. (See [5, §6].)

PROOF OF THEOREM 1. On the neighborhood U where P is well defined, the distance function is given by $\delta(x) = ||x - P(x)||$. For $v \in \mathbf{R}^n$, let D_v denote differentiation in the direction v. Then for $x \in U - M$,

$$(D_v \delta^2)(x) = 2(x - P(x)) \cdot (v - D_v P(x)) = 2(x - P(x)) \cdot v,$$

since $D_v P(x)$ is tangent to M. Hence

(*)
$$(\operatorname{grad} \delta^2)(x) = 2(x - P(x)),$$

which is C^{k-1} , and so δ is C^k on U-M. Q.E.D.

In the C^1 case one needs to examine the behavior of the difference quotient.

THEOREM 2. Let M be C^1 and suppose U is a neighborhood of M with the unique nearest point property. Then δ is C^1 on U-M.

PROOF. A simple argument (see [1, 4.8(4)]) shows that $P: U \to M$ is continuous. Thus, it suffices to show that (*) holds on U - M. If this is not the case, then there is some point $x \in U - M$ and some vector $v \in \mathbb{R}^n$ such that

(1)
$$\lim_{t \to 0^+} \frac{\delta^2(x+tv) - \delta^2(x)}{t} < 2(x-P(x)) \cdot v$$

or

(2)
$$\overline{\lim}_{t\to 0^+} \frac{\delta^2(x+tv) - \delta^2(x)}{t} > 2(x-P(x)) \cdot v.$$

In the first case, one can find a fixed $\varepsilon > 0$ and then choose t > 0 arbitrarily close to zero such that

$$\delta^2(x+tv) < \delta^2(x) + 2(x-P(x)) \cdot tv - t\varepsilon.$$

It follows, then, that

$$\begin{aligned} \operatorname{dist}^{2}(x, P(x+tv)) &= \|(x+tv-P(x+tv)) - tv\|^{2} \\ &= \delta^{2}(x+tv) - 2(x+tv-P(x+tv)) \cdot tv + t^{2} \|v\|^{2} \\ &< \delta^{2}(x) + 2(P(x+tv) - P(x)) \cdot tv - t\varepsilon - t^{2} \|v\|^{2}. \end{aligned}$$

By the continuity of $P,\,t$ can be chosen small enough so that

$$\operatorname{dist}(x, P(x+tv)) < \delta(x) = \operatorname{dist}(x, P(x)).$$

Then x is closer to P(x + tv) than to P(x), a contradiction.

Similarly, (2) leads to dist(x + tv, P(x)) < dist(x + tv, P(x + tv)). The theorem follows. Q.E.D.

REMARKS. (1) With some modifications, the same proofs will work when M is a submanifold of a Riemannian manifold.

(2) If M is a hypersurface of the form $M = \{x \in \mathbf{R}^n \mid \rho(x) = 0\}$, where ρ is a C^k function with $d\rho \neq 0$ on M, then one can form the signed distance function

$$ilde{\delta}(x) = \left\{ egin{array}{ll} \delta(x) & ext{for }
ho(x) \geq 0, \ -\delta(x) & ext{for }
ho(x) \leq 0. \end{array}
ight.$$

It is easy to see that $\tilde{\delta}$ is C^k on all of U.

- (3) In the C^1 case, the regularity of M does not enter into the proof. M can be replaced by any closed set in \mathbb{R}^n , and U by any open set on which the projection $P: U \to M$ is well defined. (For the original treatment of this, see [1].)
- (4) The extra hypothesis in the C^1 case is essential. The distance function for the curve $y = |x|^{3/2}$ in \mathbb{R}^2 is not differentiable at any point on the y-axis. See [4] for details.

For further remarks and examples, the reader is directed to the references, especially [4].

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